



WEST Members' Meeting

January 28, 2021

9:30-11:00am PT



Chair of the WEST Executive Committee - Dena Hutto, Norman F. Carrigg College Librarian, Reed College

WELCOME AND OPENING REMARKS

Meeting Agenda



- I. Welcome and Opening Remarks
- II. Program Refresher and Updates
- III. AGUA Development
 - Discussion: Discovery and the WEST Collection
- IV. External Partnerships and Collaborations



PROGRAM REFRESHER AND UPDATES

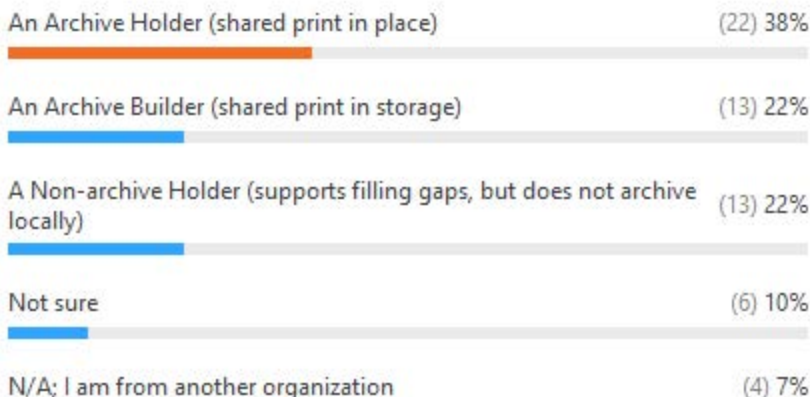
Sharing Poll Results

Attendees are now viewing the poll results

1. Is this your first time attending a WEST members' meeting?



2. My institution participates in WEST as:







WEST Refresher - What we do

Collaborate across the western regional United States to build a shared, retrospective print collection of journals.

Our objectives:

- Preserve the scholarly print record through distributed retention commitments and targeted consolidation of journal holdings
- Provide access to retained materials
- Create opportunities to reallocate library space

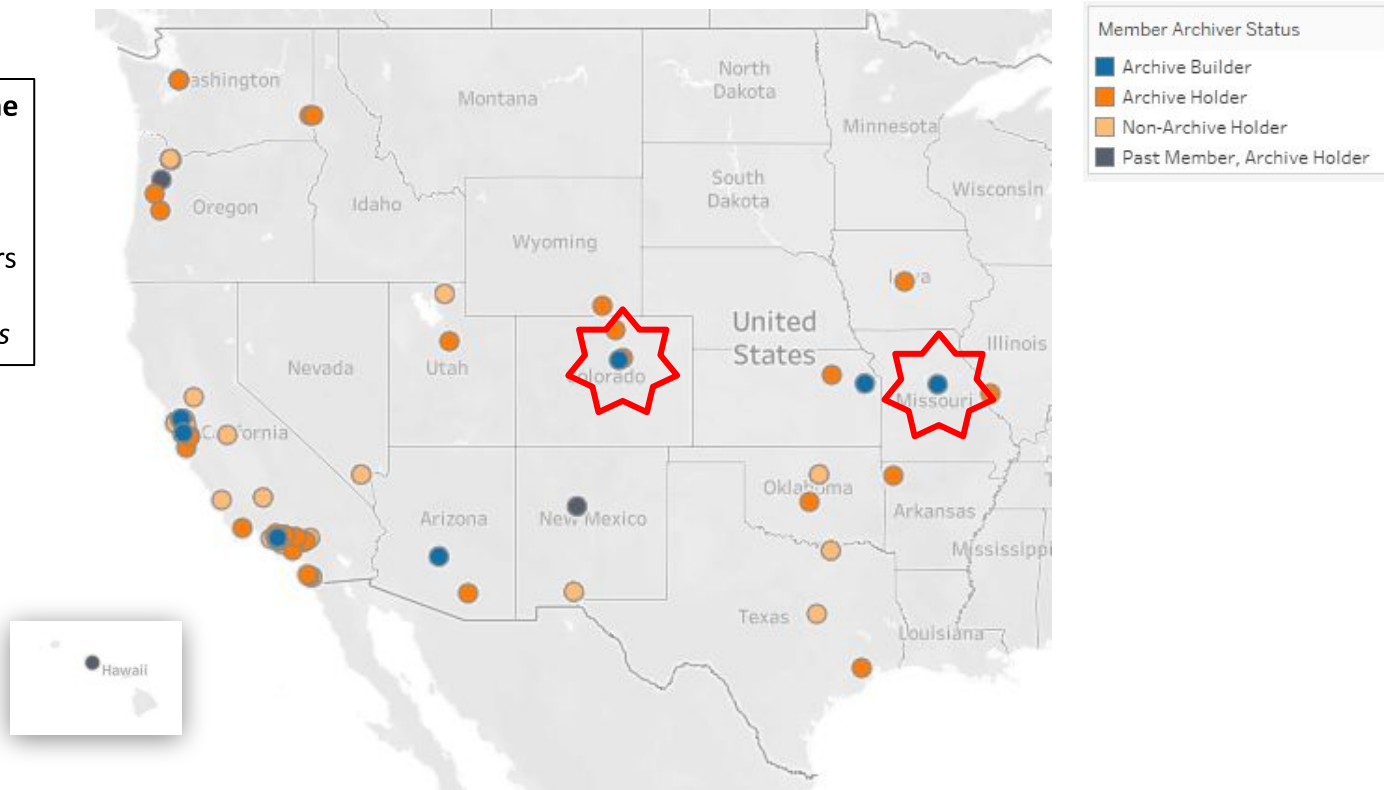
WEST Refresher - How we do it

- Analyze our collective serial holdings using WEST's custom-built decision support tool, AGUA, to identify titles for retention 
- Target our effort and resources based on risk for loss of content
- Explicitly record the retention in the local metadata for the titles; disclose what has been retained internally to WEST members and through public registries
- Provide tools for local decision-making and comparison against WEST-retained titles
- Collaborate with the wider community to develop policies, practices, and technology that support the responsible management of our collective collection 

Who we are - Membership update

65 institutions west of the Mississippi

- 7 Archive Builders
- 32 Archive Holders
- 26 Non-Archive Holders
- 5 Past members who remain Archive Holders



Who we are - WEST governance

Executive Committee		
Name	Institution	Representing
Dena Hutto (2021 Chair)	Reed College	Non-Archive Holders
Melissa Desantis (2021 Vice Chair)	University of Colorado Anschutz Medical Campus	Archive Holders
Mark Roosa	Pepperdine University	Non-Archive Holders
John Wenzler	CSU East Bay	Non-Archive Holders
Virginia (Ginny) Steel	UC Los Angeles	Archive Builders
Michael Levine Clark	University of Denver	Archive Builders
Denise Stephens	Washington University in St. Louis	Archive Holders
Kathleen Salomon	Getty Research Institute	Archive Holders
Ivy Anderson	CDL Administrative Host	ex officio

Operations and Collections Council	
Name	Institution
Sion Romaine	University of Washington
Jack Maness	University of Denver
Laura Turner	University of San Diego
Molly Strothmann	Oklahoma State University
Jill Emery	Portland State University
Shari Laster	Arizona State University
Mary Grenci	University of Oregon
Nancy Lorimer	Stanford University
Cathy Martyniak	SRLF
Kerry Scott	UC Santa Cruz
Kerri Goergen-Doll	Oregon State University

Archiving Progress

Cycle 9 Archiving

FY2019/2020

Disclosed Spring 2020

Total Unique Titles:

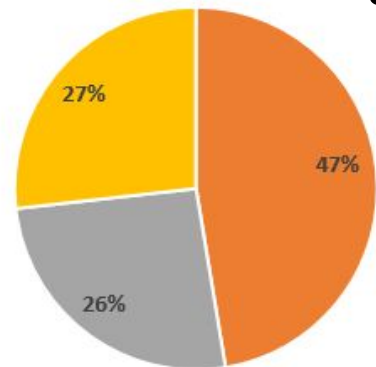
Bronze: 281

Silver: 202

Gold: 871

**Cycle 10 Archiving
in Progress**

Total Archiving



■ WEST Bronze ■ WEST Silver ■ WEST Gold

Total Unique Titles:

Bronze: 14,373

Silver: 7,837

Gold: 8,116

Total: 30,327 titles

Cycles 10 & 11 Archiving Commitments

- Archiving proposals distributed, reviewed, and commitments selected in Fall 2020

Archive Type	# Archivers	Journal Families	Titles	Est. Volumes to Archive
Bronze	26	825	1,223	27,802
Silver	6	711	1,226	23,508
Gold	5	1,234	2,160	39,277
Total	26 (deduped)	2,770	4,609	90,587

Website Updates

WEST website

- About WEST: <https://cdlib.org/west/about-west/>
- WEST Archives: <https://cdlib.org/west/west-archives/>
 - Resources for Archivers:
<https://cdlib.org/west/west-archives/resources-for-archivers/>

AGUA interface

- <https://agua.cdlib.org/west/login/dashboard>

Welcome to AGUA. This system allows authorized users to perform various functions to support WEST journal archiving and collection management activities.

WEST LIBRARY FUNCTIONS	USER ADMINISTRATION FUNCTIONS	WEST STAFF FUNCTIONS
<p>Bibliographic and Holdings Data Ingest</p> <ul style="list-style-type: none"> Upload Your File <ul style="list-style-type: none"> Upload file from your desktop Upload file from remote server Set Storage Location and Exclusion Flags <p>WEST Member Reports</p> <ul style="list-style-type: none"> Run On Demand Comparison Report Download Collection Comparison Reports Download Archiving Commitment Reports Download Not-Committed Reports Download Summary by Institution Reports Download Summary by Category Reports Download All Titles Archived by WEST Report <p>Archiving Commitments</p> <ul style="list-style-type: none"> Review Proposed Journals <p>Needs And Offers</p> <ul style="list-style-type: none"> Download JRNL Export Files 	<p>Update Your User Record</p> <p>Update Institution Contact(s)</p> <p>Institution Contact Roster</p>	

Welcome to AGUA

WEST Updates

Welcome to AGUA! AGUA is a web based analysis and decision support system that facilitates shared print collaborative activities and local collection management. If you've visited AGUA before, you'll notice we've had a bit of a refresh to the interface, although the underlying functionality remains the same. We hope you enjoy the visual updates! Please send any questions or comments to [WEST Support Team](#).

WEST Member Resources

Functions

Upload Bibliographic and Holdings Data

- [Upload Your File](#)
- [Set Storage Location and Exclusion Flags](#)

Set Archiving Commitments

- [Review Proposed Journals](#)
- [Review Budgets](#)

Reports and Downloads

[On Demand Comparison Report](#)

[Collection Comparison Reports](#)

[Archiving Commitment Reports](#)

[Not-Committed Reports](#)

[Summary by Institution Reports](#)

[Summary by Category Reports](#)

[All Titles Archived by WEST Report](#) [↓](#)

[JRNL Export Files](#)

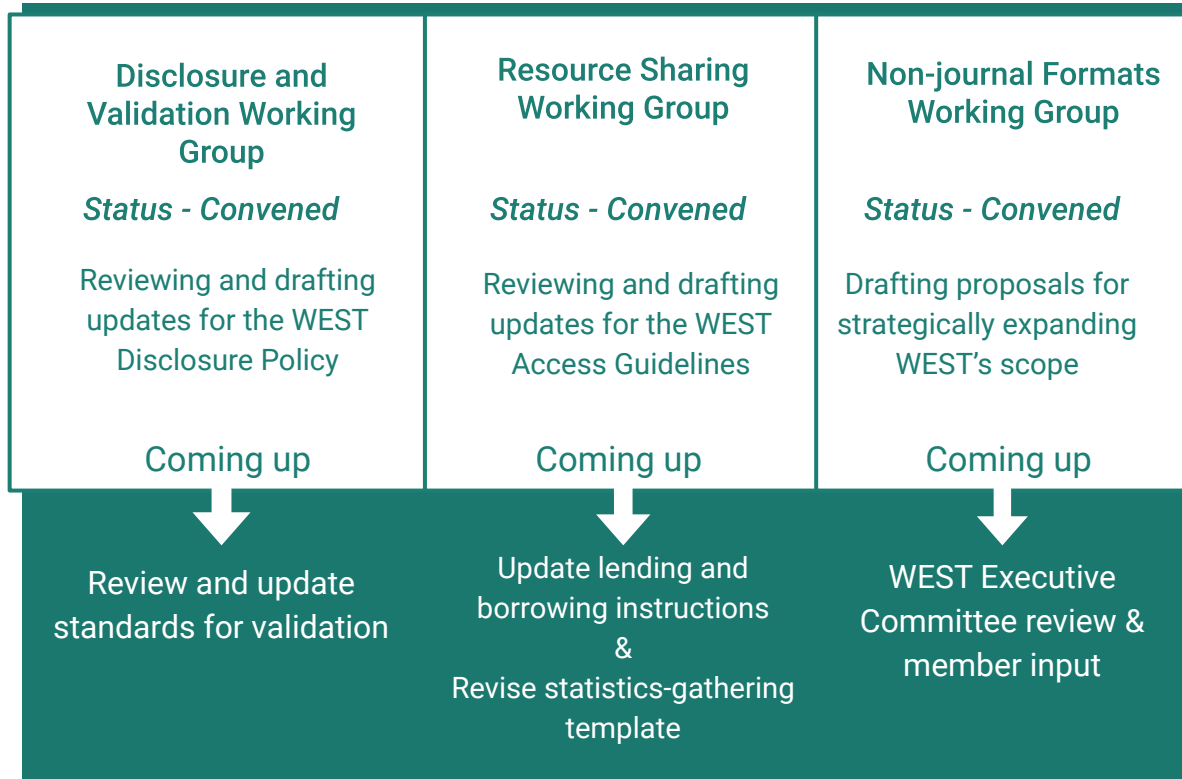
Contacts

[Contact WEST Staff](#) [✉](#)

[Institution Contact Roster](#)

[Update Your Institution Contact\(s\)](#)

WEST Working Groups - 2021



WEST Working Groups Rosters

Disclosure & Validation Standards	
Anna Striker	WEST Program (convener)
Cathy Martyniak	UC SRLF
Corrie Hutchinson	University of Missouri
Debra Spidal	Washington State University
Tim Converse	UC NRLF

Resource Sharing	
Alison Wohlers	WEST Program (convener)
Anna Striker	WEST Program
Debra Spidal	Washington State University
John de La Fontaine	Occidental College
Tim Converse	UC NRLF

Non-journal Formats	
Alison Wohlers	WEST Program (convener)
Cass Kvenild	University of Wyoming
John Wenzler	CSU East Bay
Kerry Scott	UC Santa Cruz
Michael Brewer	University of Arizona
Shari Laster	Arizona State University
Joel Thornton	University of Arkansas

New WEST Guidelines and Resources



WEST Disclosure and Validation Standards Working Group

WEST Resource Sharing Working Group

Summer 2020

Endorsed by WEST OCC September 1, 2020

Endorsed by the WEST Executive Committee September 28, 2020

WEST and the OCLC Shared Print Second Symbol

Report to OCC on WEST member attitudes and applications, with a recommendation for WEST action

Primary Recommendation: Moving forward, WEST libraries should disclose their commitments on their primary OCLC symbol. WEST should, with the assistance of OCLC, coordinate the migration of historical commitments associated with the second shared print symbols to primary institution symbols. <https://cdlib.org/wp-content/uploads/2021/01/The-OCLC-Second-Shared-Print-Symbol-Report-and-Recommendation.pdf>

integral part of WEST operations and workflows. Beginning in July 2020, the working groups have met

New WEST Guidelines and Resources



Western Regional Storage Trust

WEST Guidelines for Replacing or Transferring Archived Titles

These guidelines build on best practices outlined by national, collaborative organizations such as the Rosemont Shared Print Alliance and the Partnership for Shared Book Collections. For high-level best practices applicable across programs and formats, please visit the Partnership's site [here](#).

WEST archiving institutions commit to retain archived titles through the agreed upon preservation horizon (December 31, 2035).¹ If, despite best efforts, the unintentional withdrawal of archived materials or unexpected disaster, loss, or damage occurs to retained titles, archivers are responsible for repairing or replacing damaged or lost volumes to make the archives whole again. If repair or replacement are not feasible, transferring the commitment to another WEST member may be an acceptable alternative. If it is necessary to transfer the commitment, the original archiving institution should provide physical volumes for the title to the new archiver in

<https://cdlib.org/wp-content/uploads/2020/07/WEST-Guidelines-for-Replacing-or-Transferring-Archived-Titles.pdf>

QUESTIONS?



Updates and Discussion

AGUA DEVELOPMENT

AGUA Development

Background

- Regular development periods every other year to enhance existing features and add new ones
- Enhancement proposals developed by WEST project team, AGUA technical team, OCC, Archivers, and submitted by WEST membership
- Final enhancement list includes new features to support ongoing activities and to improve members' ability to leverage the WEST collaboration locally, as well as improving backend processing and validation

AGUA Development



In progress

- Surfacing historically unanalyzed collections (*completed*)
- **Local discovery of WEST-archived titles** (*in research*)
- Revamping back-end database to improve matching abilities
- Enhanced metadata validation (*collaboration with CRL*)
- Call for holdings management (*in research*)

In queue

- Calculating depth of published run held
- Countdown of Builder budgets on Review Proposed Journals page
- Add unarchived holdings to On Demand Collection Comparison tool
- Support for voluntary archiving/title nominations

QUESTIONS?

Discovery and the WEST Collection



Sharing Poll Results

Attendees are now viewing the poll results

1. I generally understand where I can go to look for titles that have been retained as part of the WEST shared print collection.



2. I would like to see more options and enhanced means of discovering titles retained on behalf of WEST.



Where and how can members discover WEST titles now?

- *WEST AGUA Reports* - log in to AGUA and download a full archived titles report or generate a comparison report using local holdings
- *Print Archives Preservation Registry (PAPR)* - public registry where libraries can search shared print titles across North America
- *OCLC tools* - FirstSearch, Connexion, Record Manager (though not in the public facing WorldCat.org)

What about local discovery?

What do we mean by local discovery?

Make WEST collections visible in local systems.

What are the use cases for local discovery of WEST titles?

- *Collection managers*
 - More immediate comparison of local collections against the WEST collection
- *Librarians and library administrators*
 - Ability to demonstrate that locally deaccessioned titles remain available to faculty and students
- *End users (faculty, researchers, students)*
 - Streamlined discovery of available collections

Sharing Poll Results

Attendees are now viewing the poll results

1. I find the use cases to be compelling.



What are some possible solutions for enhancing local discovery?

- *Offer extracts of WEST records to load into local systems*
- *Develop a shared index of WEST records that members can display in local discovery layers*
- *Build a WEST union catalog*

Discussion

- *What are your discovery needs (or dreams) for shared print?*
- *Do any of the possible solutions resonate with you? Do any raise concerns?*

Feel free to unmute, use the chat feature, or share your thoughts in the shared discussion document.

<https://docs.google.com/document/d/1yKHdTjvx9dm1AvuaAGaKFcscVOUMhFT7QzSPXdgA4h4/edit?usp=sharing>

[renewals/library account](#) [end session](#) [help](#)☒ Basic Search ☐ Search begins with (browse) ☐ Advanced Search

pirates

Title

Search

Start Over

Search: 'pirates' :

We found 1,738 matching items at University of Florida, 852 of these are available online.

Limit by: [New Titles](#) or [Publication Year](#)[Show 5,389 items that you can request statewide](#) [UBORROW](#) (what's this?)

Filter Results By:

☐ Available as eBook**Library/Collection**

- UF LIBRARY WEST (331)
- UF SMATHERS, Special Coll (245)
- UF SMATHERS, Latin America (55)
- UF MEAD LIBRARY (28)

Format

- Book (1,630)
- Online Resource (852)
- Microform (311)

Series Title

- Wright American fiction (94)
- Dime novels (41)
- Early American imprints First series

- Spanish (4)

Subcollection

- Main West Digital Library (456)
- **Center for Research Libraries (16)**
- [Preservation Resources](#)
- Florida Academic Repository (71)
- Journals/Serials (39)

Want more results? Try...

[Search Other FL Academic Libraries](#)[WorldCat](#)[the UF Digital Collection](#)[Google Books](#) My Folder is empty [Add All](#)

Results/page: 10 Sort By: Relevance

RSS

1 2 3 4 5 6 7 8 9 10 Next >>

1. **PIRATES PIRATES PIRATES****Published:** 1951

book

UF SMATHERS, Special Coll Baldwin Library (Non-Circulating) 39h10076

 2. **The pirate**

E-journal

[Click here for full text options](#) UF: Off-campus access limited to current UF students & employees

UF ONLINE See Link to Connect

7. **The pirate****Author:** Scott, Walter 1771-1832**Published:** London, J.M. Dent & Co., 1898.

book

FLARE General Collection -- Request via [UBorrow](#)[Check availability](#) 8. **The Pirates**

Lou Sahadi.

Author: Sahadi, Lou**Published:** New York : Times Books ; Toronto : Fitzhenry & Whiteside, c1980.

book

UF LIBRARY WEST General Collection

GV875 .P5 S23 1980 [Locate](#)

Available

 9. **The pirate****Author:** Scott, Walter 1771-1832**Published:** Boston : Samuel H. Parker, 1834.

book

Search: 'pirates' : Center for Research Libraries

We found 161 matching items at University of Florida, 15 of these are available online.

Limit by: Publication Year

Show 161 items that you can request statewide

Filter Results By:

☐ Available as eBook

+ Format

- Book (155)
- Microform (137)
- Fiction (88)

+ Series Title

- Wright American fiction (46)
- Dime novels (41)
- Beadle's New York dime library (18)

+ Subject: Topic

- History (13)
- Pirates (13)
- Civilian Conservation Corps (U.S.) (5)

+ Author

- Ingraham, Prentiss 1843-1904 (12)
- Ingraham, J. H. (Joseph Holt) 1809-1860 (6)
- Barker, B. (Benjamin) (5)

+ Corporate Author

- Australian National University (2)
- Civilian Conservation Corps (U.S.) Company 155 (2)
- Civilian Conservation Corps (U.S.) Company 194 (2)

Subject: Time Period

My Folder is empty Add All

Results/page: 10 Sort By: Relevance

1 2 3 4 5 6 7 8 9 10 Next >>

1. The pirate : a melo dramatic romance in three acts

taken from the novel of that name by Thomas Dibdin.

Author: Dibdin, Thomas 1771-1841

Published: Baltimore : Printed and published by J. Robinson, 1822.



microform

Center for Research Libraries (Chicago, IL)

Request



2. The pirate : a serio-comic opera in three acts

the music partly original, but chiefly selected from Mozart, Rossini, Donizetti, Verdi, etc., and from old English ballads.

Published: Cambridge [Mass. : s.n.], 1865 (Cambridge : Welch, Bigelow)



microform

Center for Research Libraries (Chicago, IL)

Request



3. The pirate : a musical drama in three acts

by J.R. Planché

Author: Planché, J. R. (James Robinson) 1796-1880

Published: London : Printed for J. Lowndes, [1822?]



microform

Center for Research Libraries (Chicago, IL)

Request



QUESTIONS?



EXTERNAL PARTNERSHIPS & COLLABORATIONS

The Vision: To ensure the preservation and availability of print journal literature.

The Mission: To collaboratively develop, manage and coordinate the identification, retention, registration, discovery of and access to a shared, distributed collection of print journals in the United States.

Member Programs

- BTAA
- CRL (*new!*)
- EAST
- FLARE
- Scholars Trust (ASERL & WRLC)
- WEST

2020 Accomplishments

- Achieved goal of 100,000 new titles
- Ratified the Last Copy Agreement
- Last Known Copy Initiative
 - Initial analysis & identification of last known copies across the Alliance
 - Pilot project approved by Exec
- Expanded communication resources

What's coming up in 2021

- Last Known Copy Initiative pilot implementation
- Develop common gap-filling practices
- Strategic planning for 2022 and beyond
 - Special focus on access and resource sharing

QUESTIONS?

CDL, CRL & HT Collaboration for Shared Print Infrastructure

- ❖ Vision & Mission
- ❖ First Quick Win
- ❖ What's coming up in 2021
- ❖ Website



Vision

The future of print collections is shared. **Embedding shared print within the lifecycle of library collections promotes equity of access, enriches the scholarly record and increases opportunities for research and teaching.** A well-developed collaborative and interoperable infrastructure ensures we will realize the full potential of our networks and their collective collections.

Mission

The California Digital Library, the Center for Research Libraries, and HathiTrust are committed to shared print's integration into the scholarly ecosystem by **developing shared, interoperable infrastructure**. We see this collaboration as an opportunity to **shift the shared print paradigm so that the work is not tangential to the traditional collection, but fully integrated into the life-cycle of collections** (from acquisitions to discovery and resource-sharing). The work we are facilitating will be guided by our principles and centered on our assumptions.



First Quick Win Release

Collection Comparison Tool

PAPR | PRINT ARCHIVES
PRESERVATION REGISTRY



What does this mean for WEST members?

- *A new option for comparing against a larger, more varied data set*
- *Direct comparison to HathiTrust Digital Library serials & journals*
- *Connection to a larger network of shared print programs*

Dashboard Directory Archived Titles Statistics Comparison Tool

Collection Comparison Tool

The Collection Comparison Tool allows you to upload a list of local records to generate an instant comparison with the PAPR archived titles registry.

Brief instructions:

- Input file of local records must be a CSV file.
- Up to six columns of data are allowed in the input file.
 - The first two columns must be OCLC Number and ISSN, in that order. Each row must include either an OCLC Number or an ISSN, or both. If neither of these fields is included for an entry, it will not be able to match to any records in the PAPR database.
 - The last four columns are optional, and can be used to include data points you would like to have included in the output report (such as local bib id, holdings location, title, call number, etc.).
- Each column must have a heading.
 - Columns that are not used in the input file will be marked as "null" in the output report.
- Upload files up to 250MB (Up to 500,000 rows in a csv file with 2000 characters each row).

Upon uploading your local title list, the Collection Comparison Report is downloaded to your computer as a zip folder (please be aware: the larger the file, the longer it takes). This folder may contain one or two output files: Not Yet Committed (entries from your input file that did not match to any records in the PAPR database), and Committed Matches (entries from your input file that did match to records in the PAPR database).

Please see the [User Manual](#) and [FAQs](#) for this tool for additional support. If the supporting documentation cannot answer your question, please reach out to the PAPR team at papr@crl.edu.

Select file to upload

Choose File Browse Upload

This tool is available as part of the CDL, CRL & HT Collaboration for Shared Print Infrastructure. It is made possible because of the cumulative efforts of many; the original work undertaken by CRL and CDL, funded by the Mellon Foundation, to conceive and develop PAPR; subsequent contributions by WEST in developing the AGUA graphic interface and on-the-fly reporting capability; and the many institutions and organizations, most recently HathiTrust, who have striven to make their data openly available for library comparisons.

<http://papr.crl.edu/tools/compare>

Australian journal of oto-laryngology : the official journal of the Australian Society of Oto-laryngology Head and Neck Surgery.

- **Publisher:** The Society
- **Print ISSN:** 1037-2105
- **Electronic ISSN:**
- **OCLC Number:** 26025110
- **Subject:** Otorhinolaryngologic Diseases Periodicals.
- **Subject code:**
- **Publication history:** Vol. 1, no. 1 (Jan. 1992)-

Print Holdings

Archiving Institution	Program	Holdings Description	Retention
University of California, SRLF Shared Print (ZASSP)	WEST, WEST Silver, UCL Shared Print	v.1:no.5-6(1994),v.2:no.1-3(1995/1996),v.4:no.2-3(2001),v.5:no.2(2002),v.6:no.2(2003),v.7:no.1-2(2004),v.8:no.1(2005)	December 31, 2035

Digital Holdings

Program	Holdings Description
HTDL	v.1 no.5-6 yr.1994; v.2 no.1-3 yr.1995/1996; v.4 no.2-3 yr.2001; v.5 no.2 yr.2002; v.6 no.2 yr.2003

What's coming next?

- ❖ Continue development of tools and infrastructure
- ❖ Building community to expand capacity, exchange ideas, and connect infrastructure with best practices
- ❖ Bringing shared print programs and vendors together to start identifying needs to embed shared print into the lifecycle of collections
- ❖ Website: <https://www.cchcollab.org/>



QUESTIONS?

Upcoming Shared Print Webinars

Registering Shared Print Commitments in OCLC

Friday February 12, 10 a.m. Pacific / 1 p.m. Eastern

Co-sponsored by the Partnership and Rosemont Alliance

Learn from your colleagues ideas for self-registering shared print retention commitments, including monographs, multipart monographs, and serials, using OCLC's new shared print registration service. Sponsored by the Rosemont Shared Print Alliance and the Partnership for Shared Book Collections.

Register: https://us02web.zoom.us/webinar/register/WN_LgKHZAW1QM6X2VPgsxNuvQ

Working with Shared Print Commitments in OCLC

Friday February 19, 10 a.m. Pacific / 1 p.m. Eastern

Co-sponsored by the Partnership and Rosemont Alliance

Join us for a walk-through of working with your registered Shared Print commitments in OCLC services, including maintenance and discovery. Sponsored by the Rosemont Alliance and the Partnership.

Register: https://us02web.zoom.us/webinar/register/WN_r33_H_uNTZ-nCR7GQNDftw

Best Practices Webinar

Thursday, May 6, Noon Pacific / 3 p.m. Eastern

Sponsored by the Partnership

Registration details forthcoming



Next Member Meeting - Summer 2021

Please reach out if there are topics or activities (e.g. panel discussions) that you would like to see on the agenda.



Thank you!

Please stay in touch with your questions and feedback.

<https://cdlib.org/west/>

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